# FREQUENTLY IDENTIFIED AREAS OF POTENTIAL NONCOMPLIANCE

■ The following slides identify the areas of potential noncompliance most often found by either on-site evaluation teams or the Residency Review Committee.

■ Requirements as identified in CPME 320 (July 2011) and guidelines to avoid having these areas identified in the team report or the Residency Approval Profile will be discussed.

## AFFILIATION AGREEMENTS (1.3)

- Exist in writing for <u>each</u> training site (e.g., surgery center, office, hospital).
- Define clearly the roles and responsibilities of each institution and/or facility.
- Delineate <u>financial support</u> (including resident liability) and <u>educational contributions</u>.
- Are <u>signed and dated</u> by the chief administrative officer or designee of each site.

### DESIGNATED SUPPORT STAFF (2.5)

 Provided by the sponsoring institution to ensure efficient administration of the residency program.

■ Neither the program director nor the resident(s) assumes the responsibility of clerical personnel.

# RESIDENT CONTRACTS (3.8)

- Properly identify the program.
- ☐ If Podiatric Medicine and Surgery Residency with Reconstructive Rearfoot/Ankle Surgery, clearly state this information.
- Include the amount of the resident stipend.
- Signed and dated by chief administrative officer, the program director, and the resident.

# RESIDENT CONTRACTS (3.9)continued

- Include or reference the following:
  - Resident duties and hours of work
  - Duration of the agreement
  - Health insurance benefits
  - Professional, family, and sick leave benefits
  - Leave of absence policy
  - Professional liability coverage
  - Other benefits, if provided

# RESIDENCY MANUAL (3.10)

- Includes, but not limited to, the following:
  - Policies and mechanisms affecting the resident
  - Rules and regulations
  - Curriculum (for the entire training period)
  - Training schedule (for the entire training period)
  - Assessments (for each rotation)
  - Schedules of didactic activities and journal review
  - CPME 320 and CPME 330

#### RESIDENCY MANUAL-continued

- Distributed to the resident at the beginning of the program and following any revisions.
- Distribution must be acknowledged in writing.
- Distributed to faculty and administrative staff involved in the residency at the beginning of the training year.
- Available in written or electronic format.

# RESIDENT CERTIFICATE (3.11)

- Awarded only upon successful completion of <u>all</u> training requirements.
- Properly identifies the program.
- States actual date of completion of residency training.
- ☐ Includes a statement that the <u>program</u> is "Approved by the Council on Podiatric Medical Education."
- Properly identifies the Reconstructive Rearfoot/Ankle Surgery credential, if awarded.

# PROGRAM ADMINISTRATION (5.3)

- Director is responsible for administration of the program in all participating institutions.
- Responsibilities include:
  - Maintaining records related to the program
  - Communicating with the Council (including Council staff) and the Residency Review Committee
  - Scheduling training experiences that facilitate each resident's attainment of specified competencies, while ensuring that each resident receives equitable training
  - Instructing, supervising, and evaluating each resident
  - Periodically reviewing and revising the curriculum
  - Conducting an annual programmatic self-assessment

# CURRICULUM (6.1, 6.4)

- Required rotations include:
  - Medical imaging
  - Pathology
  - Internal medicine or Family practice
  - Behavioral science
  - General surgery
  - Orthopedic, plastic, or vascular surgery
  - Anesthesiology
  - Emergency medicine
  - Podiatric medicine
  - Podiatric surgery

#### **CURRICULUM-continued**

- Podiatric Medicine and Surgery Residencies (PMSR) include at least two of the following medical subspecialty rotations:
  - Dermatology
  - Endocrinology
  - Neurology
  - Pain management
  - Physical medicine and rehabilitation
  - Rheumatology, or
  - Wound care

#### CURRICULUM-continued

- □ PMSR rotations also include
  - Infectious disease
- The time spent in Infectious Disease + Internal Medicine or Family Practice + at least two Medical Subspecialties = at least three full-time months of training.

#### **CURRICULUM-continued**

- Distributed at the beginning of the training year to all individuals involved in the training program.
- Provides a sufficient volume and diversity of experiences to allow the resident to achieve the competencies of the program.

• Included in the residency manual.

# **RESIDENT LOGS (6.2, 7.1)**

- Web-based format approved by the RRC.
- Document all experiences related to the residency.
- Reviewed, evaluated, and verified by the program director on a monthly basis.
  - Ensures completion of all columns
  - Ensures no duplication of procedures
  - Ensures no miscategorization of procedures
  - Ensures no fragmentation of procedures
  - Ensures that procedure notes support the experience logged

# RESIDENT ASSESSMENT (7.2)

- Resident attainment of the competencies established for each rotation conducted on an ongoing basis.
- Faculty and program director assess and validate attainment of established competencies.
- Assessments in written or electronic format.
- Reviewed with resident formally on at least a semiannual basis.
- Timing of assessment must allow sufficient opportunity for remediation (if needed).

#### RESIDENT ASSESSMENT-continued

- Assessments include the following:
  - Dates covered
  - Name of faculty member
  - Name of resident
  - Signature and date signed of faculty member, resident, and program director
  - Assessment of the resident in areas such as communication skills, professional behavior, attitude, and initiative

# PROGRAMMATIC SELF-ASSESSMENT (7.3)

Conducted by program director, faculty, and residents.

Review of program's resources and curriculum.

Information obtained from review to be used to improve the program.

# PROGRAMMATIC SELF-ASSESSMENT-continued

#### Review includes:

- Evaluation of program's compliance with CPME 320
- Each resident's formal evaluation of the program
- Program director's evaluation of the faculty
- Curriculum's relevance to the competencies
- Extent to which competencies are achieved
- Extent to which competencies are understood by all involved
- Determination of any changes needed in resources to ensure achievement of competencies

# PROGRAMMATIC SELF-ASSESSMENT-continued

- Review includes (continued):
  - Extent to which didactic activities complement and supplement the curriculum
  - Uses performance data (resident performance on external exams, attainment of board certification and state licensure) to determine if curriculum appropriate
  - Measures of program outcomes (success of previous residents in private practice and teaching environments, hospital appointments, and publications)

#### **APPENDIX A**

■ A minimum of 75 biomechanical cases are required for each resident.

- Effective July 1, 2011, biomechanical cases must include three components:
  - Diagnosis
  - Evaluation, and
  - Treatment

#### **APPENDIX A-continued**

#### Evaluation component must include

- Complete biomechanical examination on all patients
  - Includes static and dynamic examination of the area of chief complaint
  - Includes examination of any areas of potential abnormal biomechanical function
- Gait analysis on ambulatory patients
  - May range from basic visual analysis to complex computerized analysis
  - Documentation must include
    - Reason for performance/nonperformance
    - Interpretation of gait analysis

#### APPENDIX A-continued

- Biomechanical examination and gait analysis must
  - Be comprehensive relative to the diagnosis and consistent with the clinical findings
  - Demonstrate an understanding of the thought process in determining a diagnosis and treatment, as related to the evaluation

#### APPENDIX A-continued

■ A minimum of 50 <u>comprehensive</u> medical histories and physical examinations are required for each resident.

■ Admission, preoperative, and outpatient medical H&Ps may be used as acceptable forms of a comprehensive H&P.